

**Decision Maker:**       **AUDIT SUB-COMMITTEE**

**Date:**                   **26<sup>th</sup> February 2019**

**Decision Type:**       Non-Urgent                   Non-Executive                   Non-Key

**Title:**                   **MATTERS ARISING**

**Contact Officer:**     Stephen Wood, Democratic Services Officer  
Tel: 020 8313 4316   E-mail: Stephen.Wood@bromley.gov.uk

**Chief Officer:**       Mark Bowen, Director of Resources

**Ward:**                   n/a

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1.   Reason for report

To update the Sub-Committee on progress with Matters Arising (Part 1) from previous meetings.

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2.   **RECOMMENDATION(S)**

**To note and comment on progress with matters outstanding from previous meetings.**

**To recommend any action as deemed appropriate with respect to matters that have not been resolved.**

### Corporate Policy

1. Policy Status: Existing Policy:
  2. BBB Priority: Excellent Council
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### Financial

1. Cost of proposal: Not Applicable:
  2. Ongoing costs: Not Applicable:
  3. Budget head/performance centre: Democratic Services
  4. Total current budget for this head: **£350,650.**
  5. Source of funding: 2018/19 revenue budget
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### Staff

1. Number of staff (current and additional): 8 posts 6.87fte
  2. If from existing staff resources, number of staff hours: Completion of "Matters Arising" reports for the Audit Sub Committee normally takes a few hours per meeting.
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### Legal

1. Legal Requirement: None:
  2. Call-in: Not Applicable:
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### Customer Impact

1. Estimated number of users/beneficiaries (current and projected): This report is intended primarily for the benefit of members of the Audit Sub-Committee.
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### Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: N/A

### **3. COMMENTARY**

Attached is a schedule of matters outstanding from previous meetings of the Audit Sub Committee with a note of progress made. Most of these issues are taken up in more detail in the progress reports on this agenda (parts 1 and 2). Once an outstanding matter has been completed it will be removed from the schedule.

<b>Non-Applicable Sections:</b>	Policy/Financial/Legal/Personnel
Background Documents: (Access via Contact officer)	Previous Minutes of Audit Sub Committee.

## Appendix 1

<b>Issue &amp; Date</b>	<b>Summary</b>	<b>Action being taken</b>	<b>By</b>	<b>Est. Completion</b>
<b>Minute 20</b> <b>8<sup>th</sup> November 2017</b> <b>Zurich Overview</b>	<p>The Head of Audit mentioned the possibility of cyber-attack, and that the matter of insurance against the effects of such an attack would need clarifying.</p>	<p>Zurich are currently working on the possibility of a specific cyber policy, bespoke to the Public Sector. When this becomes available we will be made aware.</p> <p>There have not been any specific updates since the last meeting, but the Council has tendered its insurance contracts and policies around this will be considered as part of that process.</p>	<p>Zurich</p> <p>Procurement/Commissioning Directorate.</p>	<p>Ongoing</p>
<b>Minute 21</b> <b>8<sup>th</sup> November 2017</b> <b>Internal Audit Progress Report</b>	<p>Members were advised that there had been an objector to the accounts. The objections were in relation to Waste Management and Trade Waste Collection.</p>	<p>This matter has still not been concluded and is ongoing.</p>	<p>KPMG</p> <p>External Auditors.</p>	<p>On-going.</p>
<b>Minute 7</b> <b>24<sup>th</sup> May 2018</b> <b>Internal Audit Progress Report</b>	<p>It was expected that consultants would be appointed in quarter 2 of 2018 to advise on the Civic Centre Accommodation Strategy.</p>	<p>The Invitation To Tender is expected to be issued in the next few weeks</p>	<p>Procurement/Commissioning Directorate.</p>	<p>On-going</p>
<b>Minute 19</b> <b>14<sup>th</sup> November 2018</b> <b>Matters Arising</b>	<p>A Member referenced the matter of agency staff still being employed after 6 months. Assurance was provided that the issue would be monitored and Members would be updated at the next meeting.</p>	<p>We have identified 195 agency staff who have been engaged for longer than 6 months. This includes 18 agency staff who have been engaged for longer than 3 years. We have asked HR to provide both the business case made by the Directorate to continue to engage these 18 staff and evidence of HR Directorate's approval.</p> <p>We have not yet received this. We have been told by HR that 'Where the placement is in CSC or ASC we have a recognised shortage of qualified staff and we are making every effort to reduce our reliance on agency workers but this is difficult given that there is a national shortage. The use of agency staff is therefore outside of the 6 month time limit but is regularly monitored as part of the data that goes to the R&amp;R Board every 2 months as well as the CSIGB.'</p> <p>This matter is therefore on-going and an update will be provided at the February meeting.</p>	<p>Head of Audit</p>	<p>On going</p>

<p><b>Minute 21</b></p> <p><b>14th November 2018</b></p> <p><b>Internal Audit Progress Report</b></p>	<p>A Member queried why the risk rating for Adult Social Care had been downgraded from red to amber. It was stated that Zurich would challenge the risk register at the DMT if required.</p>	<p>This is likely to be a miscommunication as there has been no change to the 'Failure to deliver Adult Social Care' Risk</p>	<p>Head of Audit</p>	<p>Closed</p>
<p><b>Minute 21</b></p> <p><b>14th November 2018</b></p> <p><b>Internal Audit Progress Report</b></p>	<p>It was noted that the current risk rating for Children's Services had been downgraded from red to amber, but that this would require future validation from Ofsted.</p>	<p>The 'Failure to deliver effective Children's Social Care' Risk (Risk 3) Gross Likelihood Risk Rating had reduced from 4 (Likely) to 3 (Possible). The overall Gross Risk Rating remains 'High' (Red).</p> <p>This information comes with the caveat that the ECHS DLT has not yet formally approved Zurich's proposed amendments to the register.</p>	<p>Ofsted.</p>	<p>Closed</p>